Red Flags for Export Control Compliance

Determining how the export control regulations can impact your academic and research endeavors at UNCW can be confusing. The information below may help you determine if you may be subject to these complex regulations. If you are unsure if an activity you plan to undertake will be subject to export control regulations, help is always available in the Research Integrity Office (RIO).

Do you plan to ship or carry something outside the US?

Prior to shipping any item outside the U.S., technical equipment or hazardous materials in particular, please contact Research Integrity staff for assistance in determining if the item requires an export license to ship to the intended destination or to the intended recipient. Research Integrity staff can assist you in securing a license, when required.

You must ensure an approved license has been obtained, or have documentation that a license is not required prior to shipping a controlled item.

This requirement includes hand-carrying equipment to foreign destinations. In some cases, even items as common as laptops cannot be "exported" without a license or documentation of an applicable license exception.

Do you share technical information with foreign national colleagues, either here or outside the US?

If you have developed technology or software that is export-controlled, or use technical data that pertains to export controlled equipment, please contact the Research Integrity team prior to sharing it with a foreign national.

Transfers of controlled technical information, data and/or software include physical, verbal and electronic means of transfer. The RIO team can help you determine if the information is already in the public forum, is not export-controlled, or is not controlled for the parties involved. We can also assist in obtaining a license to share the information in a compliant manner. If you are uncertain if your technical data is considered controlled, please contact RIO staff at ExportControls@uncw.edu.
Will your department host a foreign national visitor?

If your department will host one or more foreign national visitors to campus, please contact us so that we can evaluate the situation and ensure that no licenses are needed to conduct business with or share information with the individuals.

Are you considering accepting an agreement that includes a confidentiality/non-disclosure clause?

If you are being asked to agree to restrict dissemination of your research findings based on citizenship status or securing licenses, please contact the RIO team so that we can help you determine the implications of such an agreement. Many UNCW activities are not subject to the export control regulations because they meet the definition of “fundamental research” which is excluded from the regulations. However, if you agree to restrict access to your research findings it could negate the “fundamental research” status of your project and make your project subject to export control requirements.

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